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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*Only for new nonprovisional applications under 37 CFR 1.53(b))***APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

- Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status  
See 37 CFR 1.27
3.  Specification [Total Pages 46]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

 Drawing(s) (35 U.S.C. 113) **Figs. 1A-11D** [Total Sheets 9]

Oath or Declaration [Total Sheets 3]

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63(d))  
*for continuation/divisional with Box 18 completed*
- i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b)

 Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. 09/439,997 filed November 15, 1999 which itself is a Divisional of Serial No. 09/233,146 filed January 19, 1999, which itself is a Divisional of Application Serial No. 08/661,013 filed June 10, 1996, now U.S. Patent No. 5,888,857, which itself is a Divisional of Application Serial No. 08/341,106 filed November 18, 1994, now U.S. Patent No. 5,563,426, which itself is a Divisional of Serial No. 08/160,908 filed December 3, 1993, now U.S. Patent No. 5,403,772.

Prior application information:

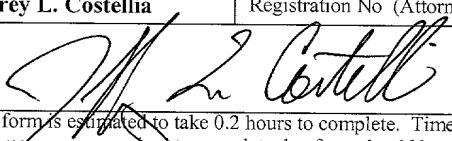
Examiner B. Kebede

Group / Art Unit 2823

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label 22204 or  Correspondence address below  
(Insert Customer No. or attach bar code label here)

Name	Jeffrey L. Costellia			
Address	NIXON PEABODY LLP 8180 Greensboro Drive, Suite 800			
City	McLean	State	VA	Zip Code
Country	United States	Telephone	(703) 790-9110	Fax

Name (Print/Type)	Jeffrey L. Costellia	Registration No (Attorney/Agent)	#35,483
Signature			Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL FOR FY 2001

*Patent fees are subject to annual revision*

**TOTAL AMOUNT OF PAYMENT** (\$ 1244.00)

<i>Complete if Known</i>	
Application Number	Not Yet Assigned
Filing Date	November 26, 2001
First Named Inventor	Hongyong ZHANG et al
Examiner Name	B. Kebede
Group Art Unit	2823
Attorney Docket No.	740756-2394

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-2380 (740756-2394)**

Deposit Account Name **Nixon Peabody LLP**

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status See 37 CFR 1.27

## Payment Enclosed:

Check  Credit Card  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	201	Utility filing fee	740.00
106	206	Design filing fee	
107	207	Plant filing fee	
108	208	Reissue filing fee	
114	214	Provisional filing fee	

**SUBTOTAL (1) (\$ 740.00)**

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
34	-20** =	14 X 18.00	= 252.00

Independent Claims	Extra Claims	Fee from below	Fee Paid
6	-3** =	3 X 84.00	= 252.00

Multiple Dependent	Extra Claims	Fee from below	Fee Paid

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
103	18	Claims in excess of 20	
102	84	Independent claims in excess of 3	
104	280	Multiple dependent claim, if not paid	
109	84	** Reissue independent claims over original patent	
110	18	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2) (\$ 504.00)**

\*\*or number previously paid, if greater, For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105	130	65 Surcharge – late filing fee or oath	
127	50	227 Surcharge – late provisional filing fee or cover sheet	
139	130	130 Non-English transaction	
147	2,520	2,520 For filing a request for <i>ex parte</i> reexamination	
112	920*	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	1,840* Requesting publication of SIR after Examiner action	
115	110	55 Extension for reply within first month	
116	400	200 Extension for reply within second month	
117	920	460 Extension for reply within third month	
118	1,440	720 Extension for reply within fourth month	
128	1,960	980 Extension for reply within fifth month	
119	320	160 Notice of Appeal	
120	320	160 Filing a brief in support of an appeal	
121	280	140 Request for oral hearing	
138	1,510	1,510 Petition to institute a public use proceeding	
140	110	55 Petition to revive – unavoidable	
141	1,280	640 Petition to revive – unintentional	
142	1,280	640 Utility issue fee (or reissue)	
143	460	230 Design issue fee	
144	620	310 Plant issue fee	
122	130	130 Petitions to the Commissioner	
123	50	50 Processing fee under 37 CR 1.17(q)	
126	180	180 Submission of Information Disclosure Stmt	
581	40	581 Recording each patent assignment per property (times number of properties)	
146	740	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	370 For each additional invention to be examined (37 CFR § 1.29(b))	
179	740	370 Request for Continued Examination (RCE)	
169	900	900 Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

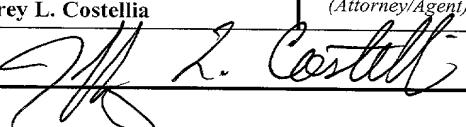
\* Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$ )**

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on \_\_\_\_\_

Name \_\_\_\_\_

*Complete if applicable*

Name (Print/Type)	Jeffrey L. Costellia	Registration No (Attorney/Agent)	#35,483	Telephone	(703) 790-9110
Signature				Date	11/26/01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the New **Divisional** Application of )  
Hongyong ZHANG et al ) Art Group: 2823  
Based on US Serial No.: 09/439,997 ) Examiner: B. Kebede  
Which was filed: November 15, 1999 )  
For: SEMICONDUCTOR DEVICE AND ) Date: November 26, 2001  
METHOD FOR MANUFACTURING THE SAME )

NOTICE OF CHANGE OF ADDRESS  
and  
NOTICE OF CHANGE OF NAME

Commissioner for Patents  
Washington, D.C. 20231

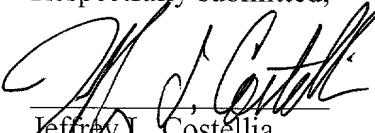
Sir:

Effective immediately, please note that the address and the firm name of the attorney of record in the above-referenced application has been changed.

Please direct all future correspondence to:

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Respectfully submitted,



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JLC/sas